

PATENT  
APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)  
(Do NOT use for Provisional or PCT Applications)  
Use for Design or Utility Applications

00909

## RULE 53(f) NO DECLARATION

Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 281355

M#

EL01019CDC  
Client Ref

Sir:

Date:

May 29, 2001

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

SEMICONDUCTOR DEVICE FORMED ON (111) SURFACE OF A Si CRYSTAL AND  
FABRICATION PROCESS THEREOF  
without a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).

4. 37 Pages of Specification (only spec. and claims);

5. ☒ Specification in non-English language

6. 39 Numbered claim(s); and

7. ☒ Drawings: 16 sheet(s) ☐ 1 set informal;8. ☒ formal of size: ☒ A4 ☐ 11"9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following  
provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

JAPAN

Application No.	Filing Date	Application No.	Filing Date
(1) 11-376170	November 30, 1999	(2)	
(3)		(4)	
(5)			

☐ See 3<sup>rd</sup> page for additional priorities11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. PCT/ \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional)

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Tadahiro		OHMI
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(5) Inventor			
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Residence			
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(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)



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Intellectual Property Group

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments